

**DISTRIBUTED CONTROL LOAD SHAPING METHOD AND APPARATUS**CROSS-REFERENCED APPLICATIONS

This application relates to co-pending U.S. patent applications entitled "Centralized Bandwidth Management Method and Apparatus" (Docket No. AUS920030611US1) in the names of Jeffrey Douglas Brown, Scott Douglas Clark, and John David Irish, filed on September 30, 2003, and "Controlling Bandwidth Reservations Method and Apparatus" (Docket No. AUS920030613US1) in the names of Charles Ray Johns, Matthew Edward King, Peichun Peter Liu, David Mui, and Jieming Oi, filed concurrently herewith.

## TECHNICAL FIELD

The invention relates to allocating BW (Bandwidth) and governing access to a bus by competing devices.

## BACKGROUND

Normally, when a plurality of programs or applications on a single computer need to use the bus at the same time, each program is granted less bandwidth than it would prefer to use.

In many computers, the OS (Operating System) can be instructed to give priority to some programs and require others to operate in the background. However, if too many of the priority programs require access to the bus, one or more of the priority programs will have to operate at a less than desirable speed. Although such a slowdown situation may be tolerable for some programs, such as word processors, they may be completely unacceptable in a situation where a game, video or other high bandwidth program is being utilized. Inadequate bandwidth, in such a situation, may result in a distorted display, stilted motion or some other similar, and less than desirable, end

result.

When the environment is more complex and a plurality of processors and other intelligent entities or devices must access the same bus, the BW (Bandwidth) problem is compounded.

5 It would be desirable to find a simple method of controlling or governing access to the bus whereby high priority programs are assured of having the BW they need to operate properly.

## 10 SUMMARY OF THE INVENTION

The present invention comprises using a shaper or governing type control mechanism for assigning time slots on a distributed basis over a given time period to a given managed application for a length of time adequate to perform a task while permitting  
15 unmanaged applications to use unassigned time slots.

## BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention, and its advantages, reference will now be made in the following  
20 Detailed Description to the accompanying drawings, in which:

FIGURE 1 is a block diagram of a plurality of network interconnected computers or PUs (Processing Units) including external devices supplying inputs thereto or receiving data therefrom;

25 FIGURE 2 is a flow diagram of the steps that some authority, such as the OS (Operating System) utilized in FIGURE 1, may take in determining whether or not to grant an entity a managed time slot on a common network; and

FIGURE 3 is a flow diagram used by a bus request management  
30 device in determining bus access for a given bus request.

## DETAILED DESCRIPTION

In the remainder of this description, a processing unit (PU) may be a sole processor of computations in a device. In such a situation, the PU is typically referred to as a CPU (Central Processing Unit). In multiprocessor systems, one or more PUs may be utilized as a central or main control unit for the purpose of distributing tasks to other PUs. However, in the remainder of this document, all processing units will be referred to as PUs.

In the following discussion, numerous specific details are set forth to provide a thorough understanding of the present invention. However, those skilled in the art will appreciate that the present invention may be practiced without such specific details. In other instances, well-known elements have been illustrated in schematic or block diagram form in order not to obscure the present invention in unnecessary detail. Additionally, for the most part, details concerning network communications, electro-magnetic signaling techniques, and the like, have been omitted inasmuch as such details are not considered necessary to obtain a complete understanding of the present invention, and are considered to be within the understanding of persons of ordinary skill in the relevant art.

It is further noted that, unless indicated otherwise, all functions described herein may be performed in either hardware or software, or some combination thereof. In a preferred embodiment, however, the functions are performed by a processor, such as a computer or an electronic data processor, in accordance with code, such as computer program code, software, and/or integrated circuits that are coded to perform such functions, unless indicated otherwise.

In FIGURE 1, a multiprocessor system is shown with a plurality of PUs. Three of the PUs are designated 105, 107 and 109. The dots between PUs 107 and 109 are an indication that

many more PUs are typically located between PUs 107 and 109. Each of the PUs is interconnected to a bus 111 via a bus request queue manager 113. Likewise, a memory block 115 is connected to the bus 111 via bus request queue manager 113. In similar  
5 fashion, other devices, such as one or more I/O (input/output) devices 117, one or more printers 119 and one or more displays 121 may also be connected to the bus 111 by bus request queue managers 113. It may be noted that present day printers and displays are generally not considered to be bus master type  
10 devices and thus would typically be merely considered as being among connected I/O devices. However, in view of the increasing intelligence of all computer connected devices, the display and printer devices are shown as presented for completeness of description.

15 In FIGURE 2, a flow diagram, indicative of actions taken within a portion of an OS used by the group of PUs in FIGURE 1, starts with a block 205 and continues to a block 210 where the topology of the bus network of FIGURE 1 is analyzed. In blocks 215 and 220, the operating system receives information about an  
20 application that wants to use bus 111 to transmit data to another device.

As an example, PU 105 may want to send instructions to PU 109 or may want to display information on a display 121. At some point, a BW (Bandwidth) request is received. The data  
25 transfer path is examined, as set forth in a block 225. If the bus is assumed to transfer data in a clockwise direction from a source to a destination, it will be apparent that more bus segment resources need to be checked when transferring data from PU 105 to printer 119 than when the transfer is only over the  
30 single bus segment from PU 105 to PU 107. The program determines in a decision block 230 whether or not all resource management points (bus segments) have been checked. If not, a

check is made to determine if a given segment has bandwidth available to reserve in a decision block 235. This determination may be made from a BMT (Bandwidth Management Table) maintained by the OS. Such BMT is not specifically shown. If there is bandwidth available in the bus segment being checked, a reservation is tentatively assigned in a block 240 before returning to block 230 to check the next segment in the requested path.

On the other hand, if in block 235 it is determined that there is not sufficient BW available in a given bus segment being checked, the program releases all tentative reservations at bus segments or other resource points along the path as presented in block 245 and the request is denied as set forth in a block 250. When a determination is made in block 230 that all segments have been checked, the tentative reservations along the requested path are finalized and the reservation request is granted. This granting involves informing not only the requesting entity, such as an application within PU 105, but also the bus request queue manager 113 directly connected to the entity requesting the managed BW such as the previously mentioned PU 105.

As will be expanded upon later, the grant will include information as to when the bus request queue manager 113 may allow the requesting application to transmit data during each of a plurality of time frames. As an example, a time frame may comprise 1028 time slots where each time slot is sufficient to transmit 128 bytes of data. If an application requests and is granted the ability to transmit 512 bytes of data every time frame, the bus request queue manager 113 is informed that it can grant four time slots substantially evenly distributed over the time frame to the application uniquely identified in the grant.

As shown in FIGURE 3, a bus request queue manager 113

receives a request for at least temporarily storing data to be transmitted on the bus as shown in a block 305. This request is examined by a section of the bus request queue manager 113 that, for convenience in segregating it from prior art bus request queue managers, may be referred to as a load shaping section or simply load shaper 300. The load shaper, in a decision block 310, checks to see if the request is BW managed. That is, it is guaranteed space on the bus. If not, the request (and accompanying data to be transferred) is sent to a block 315 where it is enqueued to be transmitted on a "best effort space available" manner that has been used in prior art bus request queue managers. If in decision block 310, the load shaper ascertains that the request is of the type or class that is likely or apparently BW managed, a check is made, in a block 320, in a periodically updated BMT contained in the bus request queue manager 113. If, as shown in a decision block 325, a determination is made that the request for bus usage is not BW managed, the request is enqueued on a best efforts basis in previously detailed block 315. If, however, the entity making the request is listed in the BMT, a check is made, in a block 330, to determine if the entity has already used the BW allowed for the present time frame.

This check may be made by resetting a number of credits counter at the beginning of each time frame and decrementing the counter as each packet of data is enqueued or, alternatively, when it is transmitted or even again, alternatively, when a confirmation of receipt at the destination is obtained. In the example assumed above for a specific application, the credit would be four data packets per time frame. While a decremented counter is used in a preferred embodiment of the invention, many different usage tracking mechanisms may be implemented to accomplish this step or credit function.

Thus, if it is determined in block 330 that the application still has credit for another data packet to be transferred, the data packet will be enqueued for a BM (Bandwidth Managed) slot. If, on the other hand, all the credits for the time frame have  
5 been used (exhausted) by that application, the request is routed to a block 345 for delay until the next time frame when the application will have another four credits of data packets.

It should be mentioned that it would be undesirable to allocate all the time slots in a time frame to BM applications.  
10 A preferred embodiment of the invention used a maximum of 90 percent of the available time slots such that best efforts data packets had a reasonable chance of getting transmitted in a time acceptable to a user of the application.

To this point, the invention has been described as being  
15 applicable to a ring bus system. The invention is, however, applicable to any communication topology utilized by a multiplicity of devices and applications on a multiplexed basis. Examples of various bus topologies are ring, switch, multi-drop bus and mixed. It is thus intended that it be understood that  
20 the word "bus" is herein defined to include and refer to any communication topology utilized by a multiplicity of devices and applications in conjunction with a PU.

In summary, the load shaper of the present invention comprises software and/or hardware for giving priority, on an  
25 allocated basis, to data packet bus transmission requests from given entities. Typically the load shaper will interact with or be a part of a bus request queue manager. Some centralized control, such as the OS for a collection of devices sharing the common data transfer bus, will examine the request to determine  
30 if there is enough bandwidth presently available that is not already allocated to other BM entities. If there is sufficient available BW, the entity and allowed BW will be entered into a

BMT for use by any load shaper in handling requests to data transmission on the bus. The load shaper includes a counting mechanism for each BM device or entity that may transmit managed data packets through a given bus request queue manager. This  
5 counting mechanism, in a preferred embodiment, is reset at the beginning of each time frame to a value coincident with the maximum number of data packets or time slots allowed in a given time frame for that entity. Any further requests for data packet transmission are delayed by the load shaper until the  
10 next time frame.

As indicated, unmanaged bus requests utilize time slots not otherwise allocated on a best efforts basis.

Although the invention has been described with reference to a specific embodiment, the description is not meant to be  
15 construed in a limiting sense. Various modifications of the disclosed embodiment, as well as alternative embodiments of the invention, will become apparent to persons skilled in the art upon reference to the description of the invention. It is therefore contemplated that the claims will cover any such  
20 modifications or embodiments that fall within the true scope and spirit of the invention.